

17668 U.S. PTO  
06/25/03

17497 U.S. PTO  
10/603877  
06/25/03

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(B)

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney Docket No.: 29757/P-828

Sir:

Enclosed herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application of:

Inventors: Harold E. Mattice, Christian E. Gadda

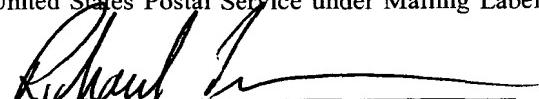
Title: THREE-DIMENSIONAL DISPLAY FOR A GAMING MACHINE

**1. Type of Application**

- This is an application for a:  
 utility patent  
 design patent

CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed herewith are being deposited with the United States Postal Service on June 25, 2003, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 323774885US.

  
Richard Zimmermann

**2. Application Papers Enclosed**

1      Title page  
35     Pages of specification (excluding claims, abstract, drawings & sequence listing)  
9      Pages of claims  
1      Abstract page  
20     Sheets of drawings (Figs. 1 to 22)  
      Formal  
      Informal

**3. Declaration or Oath**

- Enclosed  
      Executed by inventors  
      Executed by legal representative of inventor(s) (37 C.F.R. §1.42 or §1.43)  
      Not enclosed

**4. Incorporation By Reference**

If the present application is a continuation, continuation-in-part or divisional application as indicated in Paragraph 1 above, the prior application identified in Paragraph 1 above is hereby incorporated by reference in its entirety in the present application.

**5. Priority Under 35 U.S.C. §119**

The present application claims priority under 35 U.S.C. §119 from each application listed below, and a certified copy of each application:  is enclosed,  will be filed later,  was filed in a prior application from which the present application claims priority under 35 U.S.C. §120.

| COUNTRY | APPLICATION NO. | FILED |
|---------|-----------------|-------|
|         |                 |       |
|         |                 |       |
|         |                 |       |

**6. Additional Papers Enclosed**

- Computer-readable copy of sequence listing containing nucleotide and/or amino acid sequence
- Declaration of biological deposit
- Microfiche computer program
- Request not to publish and certification under 35 U.S.C. §122(b)(2)(B)(i)
- Information disclosure statement
- Assignment
- Return receipt postcard
- Other: Application Data Sheet

**7. Small Entity Status**

- Small entity status is claimed.

**8. Fee Calculation**

**A.  Utility Application**

| CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY) |           |           |              |       |                         |       |
|------------------------------------------------------------|-----------|-----------|--------------|-------|-------------------------|-------|
|                                                            |           |           | SMALL ENTITY |       | OTHER THAN SMALL ENTITY |       |
|                                                            | NO. FILED | NO. EXTRA | RATE         | FEE   | RATE                    | FEE   |
| BASIC FEE                                                  |           |           |              | \$375 |                         | \$750 |
| TOTAL                                                      | 25 -20    | = 5       | x 9 =        |       | x 18 =                  | \$90  |
| INDEP.                                                     | 3 - 3     | = 0       | x 42 =       |       | x 84 =                  | \$    |
| First Presentation of Multiple Dependent Claim             |           |           | + 140 =      |       | + 280 =                 |       |
| Filing Fee:                                                |           |           |              |       | OR                      | \$840 |

**B.  Design Application Filing Fee \$ \_\_\_\_\_**

**C.  Other Fees**

Assignment recordation fee \$ \_\_\_\_\_

Other: \_\_\_\_\_ \$ \_\_\_\_\_

Total Fees: \$ 840.00

**9. Method of Payment of Fees**

- Enclosed is a check in the amount of: \$ 840.00
- Charge Deposit Account No. 13-2855 in the amount of: \$ \_\_\_\_\_  
A copy of this transmittal is enclosed.

**10. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 C.F.R. §1.16 or 37 C.F.R. §1.17 to Deposit Account No. 13-2855. A copy of this transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

**11. Correspondence Address**

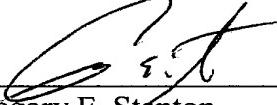
Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

Date: June 25, 2003

By:

  
\_\_\_\_\_  
Gregory E. Stanton  
Registration No. 45,127  
6300 Sears Tower  
233 South Wacker Drive  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

*Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 840.00)

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | Not Yet Assigned  |
| Filing Date          | June 25, 2003     |
| First Named Inventor | Harold E. Mattice |
| Examiner Name        | Not Yet Assigned  |
| Group Art Unit       | N/A               |
| Attorney Docket No.  | 29757/P-828       |

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None  
 Deposit Account

Deposit Account Number 13-2855

Deposit Account Name MARSHALL, GERSTEIN & BORUN

The Commissioner is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee

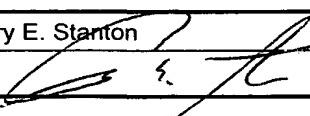
to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

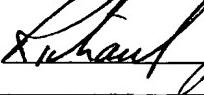
| Large Entity                      | Small Entity | Fee Description                                                   | Fee Paid |
|-----------------------------------|--------------|-------------------------------------------------------------------|----------|
| Fee Code                          | Fee Code     | Fee Description                                                   |          |
| 1051                              | 130          | 2051 65 Surcharge - late filing fee or oath                       |          |
| 1052                              | 50           | 2052 25 Surcharge - late provisional filing fee or cover sheet.   |          |
| 1053                              | 130          | 1053 130 Non-English specification                                |          |
| 1812                              | 2,520        | 1812 2,520 For filing a request for ex parte reexamination        |          |
| 1804                              | 920*         | 1804 920* Requesting publication of SIR prior to Examiner action  |          |
| 1805                              | 1,840*       | 1805 1,840* Requesting publication of SIR after Examiner action   |          |
| 1251                              | 110          | 2251 55 Extension for reply within first month                    |          |
| 1252                              | 410          | 2252 205 Extension for reply within second month                  |          |
| 1253                              | 930          | 2253 465 Extension for reply within third month                   |          |
| 1254                              | 1,450        | 2254 725 Extension for reply within fourth month                  |          |
| 1255                              | 1,970        | 2255 985 Extension for reply within fifth month                   |          |
| 1401                              | 320          | 2401 160 Notice of Appeal                                         |          |
| 1402                              | 320          | 2402 160 Filing a brief in support of an appeal                   |          |
| 1403                              | 280          | 2403 140 Request for oral hearing                                 |          |
| 1451                              | 1,510        | 1451 1,510 Petition to institute a public use proceeding          |          |
| 1452                              | 110          | 2452 55 Petition to revive - unavoidable                          |          |
| 1453                              | 1,300        | 2453 650 Petition to revive - unintentional                       |          |
| 1501                              | 1,300        | 2501 650 Utility issue fee (or reissue)                           |          |
| 1502                              | 470          | 2502 235 Design issue fee                                         |          |
| 1503                              | 630          | 2503 315 Plant issue fee                                          |          |
| Total Claims                      | 25           | -20** = 5 x 18.00 = 90.00                                         |          |
| Independent Claims                | 3            | -3** = 3 x 18.00 = 54.00                                          |          |
| Multiple Dependent                |              |                                                                   |          |
| Large Entity                      | Small Entity | Fee Description                                                   |          |
| Fee Code                          | Fee Code     | Fee Description                                                   |          |
| 1202                              | 18           | 2202 9 Claims in excess of 20                                     |          |
| 1201                              | 84           | 2201 42 Independent claims in excess of 3                         |          |
| 1203                              | 280          | 2203 140 Multiple dependent claim, if not paid                    |          |
| 1204                              | 84           | 2204 42 ** Reissue independent claims over original patent        |          |
| 1205                              | 18           | 2205 9 ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (1)                      | (\$ 750.00)  |                                                                   |          |
| *Reduced by Basic Filing Fee Paid |              |                                                                   |          |
| SUBTOTAL (3)                      |              |                                                                   | \$ 0.00  |

\*\*or number previously paid, if greater; For Reissues, see above

| SUBMITTED BY      |                                                                                     |  |                                      |        |           | Complete (if applicable) |
|-------------------|-------------------------------------------------------------------------------------|--|--------------------------------------|--------|-----------|--------------------------|
| Name (Print/Type) | Gregory E. Stanton                                                                  |  | Registration No.<br>(Attorney/Agent) | 45,127 | Telephone | (312) 474-6300           |
| Signature         |  |  |                                      |        | Date      | June 25, 2003            |

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 323774885 US, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. 1450 Box , Alexandria, Virginia 22313-1450, on the date shown below.

Dated: June 25, 2003

Signature:  (Richard Zimmermann)